

26.3B-C. SEE ALSO REFERENCES.

Introduction

These instructions deal with the following types of cross references:

- 1) *see also* references, including "earlier/later heading" references;
- 2) *Explanatory see also references*
 - a) References requiring special texts
 - b) Private communications of heads of state, etc.

For reasons of economy, LC ceased adding MARC 21 note field 665 (History reference) to newly created name authority records. Sequences of related headings formerly connected by the 665 history reference notes have since been connected by simple *see also* references or earlier/later heading references as illustrated in the examples below. LC and PCC catalogers are instructed to convert an existing information reference to *see also* references whenever the 665 field must be handled for any reason. This is accomplished by the use of MARC 21 Field 5XX (See Also from tracings) and when appropriate by use of subfield code \$w coded with value "a" (earlier heading) or value "b" (later heading).

See Also References

See also references are used to connect related headings. When the relationship is not directly sequential or when information about the exact relationship is not known, MARC 21 subfield \$w is not supplied. When the relationship is sequential, a special type of *see also* reference is generated by adding a subfield \$w to the 5XX field; "earlier heading" or "later heading" coding is supplied in the 0 position of subfield \$w; value "a" or value "b" respectively and may be used whether the sequence results from a name change, reorganization, hierarchical change, official language change, or similar phenomenon. In case of doubt, do not use the subfield \$w.

Normally, make references only to connect immediately adjacent headings, thus creating a chain of *see also* references leading from one heading to another. However, if the relationship is not clear, it is permissible to connect several headings without the use of the subfield \$w (cf. examples 2 and 10 below).

1) *Guidelines on making relational references.* When new information about a related body is discovered, apply one of the following alternatives:

- a) If entries (bibliographic records, subdivisions, *see* references to other headings) are or will be under the related body, establish the body (if it is not already established) and make the appropriate *see also* or earlier/later heading references.

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b) If the related body is not established in the catalog against which the searching and cataloging is performed and it is not likely it will ever be needed, record data about the related body on the authority record for the heading being established. After the name in the source citation, bracket in a statement e.g., "[no publs. in LC database]," "[no publs. in RLIN 21 database]" This alternative should not normally be applied when an earlier name is being established and the later name is the one for which no publications have been received.

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110 2# $a Stover Manufacturing & Engine Company
670 ## $a Wendel, C.H. Stover Mfg & Engine ... 1982: $b
      cover (Stover Manufacturing & Engine Company,
      Freeport, Ill.) pref. (Stover Manufacturing
      Company [no publs. in LC database] formed in
      1879; Stover interests combined in 1916 to form
      single firm known as Stover Manufacturing &
      Engine Company)
```

2) *Evaluating existing references.* When evaluating existing *see also* references on authority records, normally, retain the reference (adjusting it to current practice and AACR2) if there is an authority record for the heading referred from in the LC/NAF. If there is no authority record, apply the guidelines above in deciding whether to retain or delete the reference. If the reference is retained, an authority record must be made for the heading.

3) *Procedures*

a) All headings connected by the references must be represented in the authority file, including those for which there are no bibliographic records. *All headings and references leading to the headings must be in correct LCAACR2 form.* Establish any heading not yet in the authority file.

b) *Source citations.* When giving data in the authority record (MARC 21 fields 670 and/or 675), generally, cite at least sources that deal with the immediately preceding and succeeding headings.

If a source gives only an earlier or later name but not the name used in the heading, cite the source in 675 field. Do not, however, divide a citation for successive issues of a serial; give the complete citation in the 670 field if any volume contains the heading or in the 675 field if no volume contains the heading.

Justification on the authority record of preceding or succeeding headings is not required in the following cases:

- (1) changes in government heading because of an official language change;
- (2) changes involving only a parent body to which the body being established is subordinate;
- (3) when converting existing information references to *see also* references.

Do not normally add source citations to justify references between headings already represented on the information reference. Add sources to justify new references.

c) *Tracings*. On each name authority record, trace a *see also* reference for each heading to be connected, other than the heading for which the authority record is being prepared. If appropriate use subfield \$w with the value “a” (earlier heading) or value “b” (later heading).

Change any existing references that are no longer appropriate (e.g., if necessary, change earlier/later heading references to simple *see also* references or vice versa). *Convert an existing 665 field to see also references whenever the 665 field must be changed for any reason*. If no change is needed to the 665 field, do not convert it to *see also* references. Complete the reference evaluation process for all the headings involved in the reference(s).

If *any change* is needed to the 665 field, delete it by completing the reference evaluation process for all headings involved, converting the 665 field to *see also* references according to the guidelines above. Note that this means some tracings will be deleted entirely.

d) *Geographic names*. Changes to geographic names are handled the same way as changes to corporate names. Whenever earlier/later headings for a jurisdiction are being created or an existing heading for a jurisdiction is being changed, the addition or adjustment of a 667 “Subject usage note” may be required. Notification to CPSO to make this change is requested and LC and PCC catalogers are advised to consult the *Descriptive Cataloging Manual* Z1 supplement to the *MARC 21 Format for Authority Data* for further guidelines.

e) *Conference names*. Use judgment in determining the need for adding earlier/later heading references for conference name changes, judging whether or not the addition of the *see also reference* is useful and/or improves access. If *see also references* are created, follow the guidelines provided above (cf. examples in 9 below).

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Examples (Note that the complete reference structure may not be shown in each example)

1) *Available information*: Some of the principal members of the Ballets des Champs Elysées left the company to form the Ballet de Paris; the former continued to exist, however.

authority record

110 2# \$a Ballets des Champs Elysées
510 2# \$a Ballets de Paris

resulting reference

Ballet de Paris
see also
Ballets des Champs Elysées

authority record

110 2# \$a Ballet de Paris
510 2# \$a Ballets des Champs Elysées

resulting reference

Ballets des Champs Elysées
see also
Ballet de Paris

2) The available information about the relationship is not clear and/or there is not enough information readily available to determine the chronological relationships. Simple *see also references* without the subfield \$w are used to connect the headings.

authority record

110 1# \$a Argentina. \$b Ministerio de Agricultura y Ganadería
510 1# \$a Argentina. \$b Ministerio de Agricultura
510 1# \$a Argentina. \$b Secretaría de Estado de Agricultura y Ganadería

resulting references

Argentina. Ministerio de Agricultura y Ganadería
see also
Argentina. Ministerio de Agricultura
Argentina. Secretaria de Estado de Agricultura y Ganadería

authority record

110 1# \$a Argentina. \$b Ministerio de Agricultura
 510 1# \$a Argentina. \$b Ministerio de Agricultura y
 Ganaderia
 510 1# \$a Argentina. \$b Secretaría de Estado de
 Agricultura y Ganadería

resulting references

Argentina. Ministerio de Agricultura
 see also
 Argentina. Ministerio de Agricultura y Ganadería
 Argentina. Secretaría de Estado de Agricultura y Ganadería

authority record

110 1# \$a Argentina. \$b Secretaría de Estado de
 Agricultura y Ganadería
 510 1# \$a Argentina. \$b Ministerio de Agricultura y
 Ganaderia
 510 1# \$a Argentina. \$b Ministerio de Agricultura

resulting references

Argentina. Secretaría de Estado Agricultura
 see also
 Argentina. Ministerio de Agricultura
 Argentina. Ministerio de Agricultura y Ganadería

3) *Available information:* The American Material Handling Society changed its name to International Material Management Society.

authority record

110 2# \$a American Material Handling Society
 510 2# \$w b \$a International Material Management Society

resulting reference

International Material Management Society
see also the earlier heading
American Material Handling Society

authority record

110 2# \$a International Material Management Society
510 2# \$w a \$a American Material Handling Society

resulting reference

American Material Handling Society
see also the later heading
International Material Management Society

4) *Available information:* The name of the International Union of American Republics was changed in 1910 to Union of American Republics and in 1949 to Organization of American States.

authority record

110 2# \$a International Union of American Republics
510 2# \$w b \$a Union of American Republics

resulting reference

Union of American Republics
see also the earlier heading
International Union of American Republics

authority record

110 2# \$a Union of American Republics
510 2# \$w a \$a International Union of American Republics
510 2# \$w b \$a Organization of American States

resulting references

International Union of American Republics
see also the later heading
Union of American Republics

Organization of American States
see also the earlier heading
Union of American Republics

authority record

110 2# \$a Organization of American States
 510 2# \$w a \$a Union of American Republics

resulting reference

Union of American Republics
 see also the later heading
 Organization of American States

5) *Available information:* In 1954 the Screen Writers' Guild and the Radio Writers Guild merged to form the Writers Guild of America, West.

authority record

110 2# \$a Screen Writers' Guild
 510 2# \$w b \$a Writers Guild of America, West

resulting reference

Writers Guild of America, West
 see also the earlier heading
 Screen Writers' Guild

authority record

110 2# \$a Radio Writers Guild
 510 2# \$w b \$a Writers Guild of America, West

resulting reference

Writers Guild of America, West
 see also the earlier heading
 Radio Writers Guild

authority record

110 2# \$a Writers Guild of America, West
 510 2# \$w a \$a Screen Writers' Guild
 510 2# \$w a \$a Radio Writers Guild

resulting references

Screen Writers' Guild

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see also the later heading
Writers Guild of America, West

Radio Writers Guild
see also the later heading
Writers Guild of America, West

6) *Available information:* The name of the American-Asian Education Exchange was changed in 1962 to American Afro-Asian Education Exchange; in 1967 the original name was resumed.

authority record

110 2# \$a American-Asian Education Exchange
510 2# \$a American Afro-Asian Education Exchange

resulting reference

American Afro-Asian Education Exchange
see also
American-Asian Education Exchange

authority record

110 2# \$a American Afro-Asian Education Exchange
510 2# \$a American-Asian Education Exchange

resulting reference

American-Asian Education Exchange
see also
American Afro-Asian Education Exchange

(References were made at the time of the first change of name that had the display constants "see also under the earlier heading/see also under the later heading." When the original name was resumed, the \$w was deleted resulting in simple *see also* record)

7) *Available information:* Drabenderhöhe changed its name in 1961 to Bielstein; in 1969 Bielstein was absorbed by Wiehl.

authority record

151 ## \$a Drabenderhöhe (Germany)
551 ## \$w b \$a Bielstein (Germany)

resulting reference

Bielstein (Germany)
 see also the earlier heading
 Drabenderhöhe (Germany)

authority record

151 ## \$a Bielstein (Germany)
 551 ## \$w a \$a Drabenderhöhe (Germany)
 551 ## \$a Wiehl (Germany)

resulting references

Drabenderhöhe (Germany)
 see also the later heading
 Bielstein (Germany)

Wiehl (Germany)
 see also under
 Bielstein (Germany)

authority record

151 ## \$a Wiehl (Germany)
 551 ## \$a Bielstein (Germany)

resulting reference

Bielstein (Germany)
 see also
 Wiehl (Germany)

8) When under earlier cataloging rules a *see* reference tracing from one or more earlier names has already been made retain the *see* reference tracing until the heading is needed for a new publication. When it is needed, establish the heading and convert the *see* reference tracing to a *see also* reference tracing (cf. LCRI 26.3). Do not change any existing bibliographic records.

Available information: The International Federation of General Factory Workers was established in 1907. In 1950 the name was changed to International Federation of Industrial Organizations and General Workers Unions and in 1964 to International Federation of Chemical and General Workers' Unions. Works published before the change of name in 1964 are found under International Federation of Industrial Organizations and General Workers Unions.

a) *Earliest name not yet used as a heading*

authority record

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110 2# \$a International Federation of Industrial
Organizations and General Workers Unions
410 2# \$a International Federation of General Factory
Workers
510 2# \$w b \$a International Federation of Chemical and
General Workers' Unions

resulting references

International Federation of General Factory Workers
see also
International Federation of Industrial Organizations and General
Workers Unions

International Federation of Chemical and General Workers' Unions
see also the earlier heading
International Federation of Industrial Organizations and General
Workers Unions

authority record

110 2# \$a International Federation of Chemical and
General Workers' Unions
510 2# \$w a \$a International Federation of Industrial
Organizations and General Workers Unions

resulting reference

International Federation of Industrial Organizations and General
Workers Unions
see also the later heading
International Federation of Chemical and General Workers' Unions

b) *Earliest name now needed as a heading.* When the heading "International Federation of General Factory Workers" is needed for a newly cataloged item, establish the heading and make a *see also* reference. Do not change any existing bibliographic records.

authority record

110 2# \$a International Federation of General Factory
Workers
510 2# \$w b \$a International Federation of Industrial
Organizations and General Workers Unions

resulting reference

International Federation of Industrial Organizations and General
Workers Unions

see also the earlier heading

International Federation of General Factory Workers

On the authority record for "International Federation of Industrial Organizations and General Workers Unions," change the *see* reference to a *see also* reference.

authority record

110 2# \$a International Federation of Industrial
Organizations and General Workers Unions

510 2# \$w a \$a International Federation of General
Factory Workers

510 2# \$w b \$a International Federation of Chemical and
General Workers' Unions

resulting new reference

International Federation of General Factory Workers

see also the later heading

International Federation of Industrial Organizations and General
Workers Unions

9) When judged useful, connect authority records for named meetings in the same way as for other corporate names. Do not add notes to the bibliographic records for unnamed meetings.

Available information: The 3rd, 7th, and 9th of the following series of meetings were named; the 4th, 5th, 6th, and 8th were unnamed and the proceedings of those meetings are entered under title.

authority record

111 2# \$a Symposium on the Plasma Membrane \$d (1961 : \$c
New York, N.Y.)

511 2# \$w b \$a Symposium on Macromolecular Metabolism \$d
(1965 : \$c New York, N.Y.)

resulting reference

Symposium on the Macromolecular Metabolism (1965 : New York,
N.Y.)

see also the earlier heading

Symposium on the Plasma Membrane (1961 : New York, N.Y.)

authority record

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111 2# \$a Symposium on Macromolecular Metabolism \$d (1965 : \$c New York, N.Y.)

511 2# \$w a \$a Symposium on the Plasma Membrane \$d (1961 : \$c New York, N.Y.)

511 2# \$w b \$a Basic Science Symposium

resulting references

Symposium on the Plasma Membrane (1961 : New York, N.Y.)

see also the later heading

Symposium on Macromolecular Metabolism (1965 : New York, N.Y.)

Basic Science Symposium

see also the earlier heading

Symposium on Macromolecular Metabolism (1965 : New York, N.Y.)

authority record

111 2# \$a Basic Science Symposium

511 2# \$w a \$a Symposium on Macromolecular Metabolism \$d (1965 : \$c New York, N.Y.)

resulting reference

Symposium on Macromolecular Metabolism (1965 : New York, N.Y.)

see also the later heading

Basic Science Symposium

10) *Available information:* In 1963 the Arkansas Resources and Development Commission was replaced by the Arkansas Geological Commission. The following bodies are known to be related to these bodies but the nature of the relationship is uncertain: Arkansas. State Geologist; Arkansas. Office of the State Geologist; Arkansas. Division of Geology; Arkansas Geological and Conservation Commission. All the headings are connected to all the other headings, as illustrated by the following example showing only one of the headings:

authority record

110 2# \$a Arkansas Resources and Development Commission

510 2# \$a Arkansas Geological Commission

510 2# \$a Arkansas. State Geologist

510 1# \$a Arkansas. \$b Office of the State Geologist

510 1# \$a Arkansas. \$b Division of Geology

510 2# \$a Arkansas Geological and Conservation Commission

resulting references

Arkansas Geological Commission
 see also
 Arkansas Resources and Development Commission

Arkansas. State Geologist
 see also
 Arkansas Resources and Development Commission

Arkansas. Office of the State Geologist
 see also
 Arkansas Resources and Development Commission

Arkansas. Division of Geology
 see also
 Arkansas Resources and Development Commission

Arkansas Geological and Conservation Commission
 see also
 Arkansas Resources and Development Commission

MARC 21 field 663/664 (Complex See Also and See References)

1) *"General" references.* Explanatory references of the type illustrated by the examples in 26.3C1 of AACR2 for "Aktiebolaget ...," "Conference ...," and "Catholic Church. Sacra ..." are not used on name authority records created by the Library of Congress or by PCC contributors. Instead, the specific reference is supplied in all authority records.

2) *Private communications of heads of state, etc.* Create reciprocal *see also* references to connect headings for heads of state, ecclesiastical officials, etc., to the corresponding official heading instead of the explanatory reference called for in 21.4D2 and illustrated in 26.3C1.

authority records

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100 0# $a Mohammed Reza Pahlavi, $c Shah of Iran, $d
      1919-
510 1# $a Iran. $b Shah (1941-1979 : Mohammed Reza
      Pahlavi)
      (Subfield $w not needed)
And:
110 1# $a Iran. $b Shah (1941-1979 : Mohammed Reza
      Pahlavi)
500 0# $a Mohammed Reza Pahlavi, $c Shah of Iran, $d
      1919-
      (Subfield $w not needed)

```

resulting references

Iran. Shah (1941-1979 : Mohammed Reza Pahlavi)
see also
Mohammed Reza Pahlavi, Shah of Iran, 1919-

And:

Mohammed Reza Pahlavi, Shah of Iran, 1919-
see also
Iran. Shah (1941-1979 : Mohammed Reza Pahlavi)